# FOR UTILITY/DESIGN CIP/PCT NATIONAL/PLANT ORIGINAL/SUBSTITUTE/SUPPLEMENTAL

## PECLARATION AND POWER OF STORNEY FOR PATENT APPLICATION

PW **FORM** 

Atty. Dkt. No.

	DECLARATI	IONS	IN	THE	NITED S	STATES PATEN	IT AND	TRADE	MARK OF	FICE	
DECLARATIONS IN THE WITED STATES PATENT AND TRADEMARK OFFICE As a below named inventor, I hereby declare that inventor, post office address and citizenship are as stated below next to my name, and I											
believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed											
below) of the subject matter which is claimed and for which a patent is sought on the INVENTION ENTITLED  TRANSISTOR AND METHOD FOR FABRICATING THE SAME											
			th (CHECK applicable								
	is attached			e <u>b</u> 0/	(CS))						
	B. 🔲 was fi		•		а	s U.S. Application	No.	1			
			PCT Internationa	I Ap		• • •	<i>'</i>	01	1		
and (if applicable to U.S. or PCT application) was amended on											
I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose all information known to me to be material to patentability as defined in 37 C.F.R. 1.56. Except as noted below, I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International Application which designated at least one other country than the United States, listed below and have also identified below any foreign application for patent or inventor's certificate, or PCT International Application, filed by me or my assignee disclosing the subject matter claimed in this application and having a filing date (1) before that of the application on which priority is claimed, or (2) if no priority claimed, before the filing date of this application:											
PRIOR EOREIG	N ADDI ICAT	ION/S	`			Date first Lai	id	Data B	atented		
PRIOR FOREIG Number	Count		<i>!</i> Day/MONTH	l/Year	r Filed	open or Put			Granted	Priority NOT	Claimed
1999-67988		lic of K		1/12/1		<u> </u>	<u></u>	<u> </u>	<u> </u>		0.4
Except as noted be PCT international application is in addefined in 37 C.F.F. application:	elow, I hereby capplications listed dition to that dis R. 1.56 which be OVISIONAL,	daim dor ed above sclosed i ecame a	x at bottom and continuestic priority benefit une or below and, if this is in such prior application ivaliable between the fill ROVISIONAL AND/O	nder 35 a cont s, I ack ing dat	U.S.C. 119(e inuation-in-pa knowledge the e of each suc	or 120 and/or 365( rt (CIP) application, duty to disclose all in h prior application an	insofar as information at the natio	the subject known to nal or PCT	matter disclo me to be mat	sed and claimed enal to patentabil filing date of this Priority NOT	in this ity as
further that these s Section 1001 of Tit And I hereby appo telephone number attorneys to prosec authorize them to o person/assignee/a	tatements were tle 18 of the Uni int Pillsbury Wir (202) 861-3000 sute this applica delete names/ni ttorney/firm/ org unless/until I ins 16 pitt 17: 18: 20: 18: 25: y 25:	e made vited State of the Market of the Mark	herein of my own know with the knowledge that less Code and that such that such that the code and that such that the communications at the transact all business to transact all business to the code and the code an	willful to willful for Group are to be sin the are with the are with the are with the are to be	false statemer alse statemer of 1100 New Note directed), at Patent and This case to the torney in writ 28872 32011 28458 30368 24238 35861 34852 32995	nts and the like so mats may jeopardize the fork Avenue, N.W., North the below-named rademark Office content and by whom/whiting to the contrary.  Mark G. Paulson Stephen C. Glazie Ruth N. Morduch Richard H. Zaitler Roger R. Wise Jay M. Finkelstein Michael R. Dzwor W. Patrick Bengts	ade are pune validity on which Floor, I persons (connected the instructions ich I hereby er	nishable by the appliful the appliful the same rewith and so from and y declare the same and the	y fine or impri cation or any er, Washingto e address) ind with the resu communicate	sonment, or both patent issued the patent issued the on, D.C. 20005-38 dividually and coll ting patent, and le directly with the issented after full of arufka Hess Atkins harer	, under ereon. 918, ectively my I hereby
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(2) INVENTOR'S	SIGNATUR	<u>E:</u>					Date:				
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Residence											
		City		State/Foreign Country Cou					ıntry of Citizenship	)	
Post Office Addr	ess										
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FOR ADDITIONAL INVENTORS, "X" box [] and proceed on the attached page to list each additional inventor. [] See additional foreign priorities on attached page (incorporated herein by reference).											

# Rule 56(a) & (b) = 37 C.F.R. 1.56(a) & (b) PATENT AND TRADEMARK CASES - RULES OF PRACTICE DUTY OF DISCLOSURE

(a) ... Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith in dealing with the [Patent and Trademark] Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability...(b) information is material to patentability when it is not cumulative and (1) It also establishes by itself, or in combination with other information, a prima facie case of unpatentability of a claim or (2) refutes, or is inconsistent with, a position the applicant takes in: (i) Opposing an argument of unpatentability relied on by the Office, or (ii) Asserting an argument of patentability

#### PATENT LAWS 35 U.S.C.

### §102. Conditions for patentability; novelty and loss of right to patent

A person shall be entitled to a patent unless--

- (a) the invention was known or used by others in this country, or patented or described in a printed publication in this or a foreign country, before the invention thereof by the applicant for patent or
- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of the application for patent in the United States, or
- (c) he has abandoned the invention, or
- (d) the invention was first patented or caused to be patented, or was the subject of an inventor's certificate, by the applicant or his legal representatives or assigns in a foreign country prior to the date of the application for patent in this country on an application for patent or inventor's certificate filed more than twelve months\* before the filing of the application in the United States, or
- (e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent, or
- (f) he did not himself invent the subject matter sought to be patented, or
- (g) before the applicant's invention thereof the invention was made in this country by another who had not abandoned, suppressed, or concealed it. In determining priority of invention there shall be considered not only the respective dates of conception and reduction to practice of the invention, but also the reasonable diligence of one who was first to conceive and last to reduce to practice, from a time prior to conception by the other.

## §103. Condition for patentability; non-obvious subject matter

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made. . . .
- (c) Subject matter developed by another person, which qualified as prior art only under subsection (f) or (g) of section 102 of this title, shall not preclude patentability under this section where the subject matter and the claimed invention were, at the time the invention was made, owned by the same person or subject to an obligation of assignment to the same person.

<sup>\*</sup> Six months for Design Applications (35 U.S.C. 172).